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> Exam in TDT4165 Programming Languages (with solutions)

Tuesday, October 16., 2007, 12:00–14:00

# **Questions and Answers**

# Question 1

Consider the following grammar for a simple programming language:

$\langle program  angle$	::=	$\langle statements \rangle$
$\langle statements \rangle$	::=	$\langle statement \rangle$
		$\langle statement \rangle \langle statements \rangle$
$\langle statement \rangle$	::=	$\langle assignment \rangle$
		$\langle loop \rangle$
		$\langle io \rangle$
$\langle assignment \rangle$	::=	$\langle identifier  angle : \langle expression  angle $ .
$\langle loop \rangle$	::=	loop ( <i>identifier</i> ) { ( <i>statement</i> ) } .
$\langle io \rangle$	::=	in $\langle \mathit{identifier}  angle$ .
		out $\langle \mathit{identifier}  angle$ .
$\langle identifier  angle$	::=	[A-Za-z]+
$\langle expression \rangle$	::=	$\langle additive \ expression \rangle$
		$\langle multiplicative expression \rangle$
	Í	<i>(identifier)</i>
$\langle additive \ expression  angle$	::=	$\langle expression \rangle + \langle expression \rangle$
		$\langle expression \rangle - \langle expression \rangle$
<i>(multiplicative expression)</i>	::=	$\langle expression \rangle * \langle expression \rangle$
		$\langle expression \rangle$ / $\langle expression \rangle$

The definition of the nonterminal  $\langle identifier \rangle$  is a regular expression that matches one or more letters not separated by any non-letter character. (This has no importance for the question.)

Which of the following is correct:

- 1. The grammar is ambiguous and left-recursive.
- 2. The grammar is ambiguous but not left-recursive.
- 3. The grammar is left recursive but not ambiguous.
- 4. The grammar is neither ambiguous nor left-recursive.

#### Answer: 1.

A grammar is ambiguous if it is possible to construct a sentence that is valid according to the grammar and that has more than one leftmost (or rightmost) derivation (more than one parse tree). The grammar above is ambiguous because of the definition of expressions. For example, a syntactically valid program including the code A + B \* C would have at least two parse trees, in which the expression would be parsed differently:

+(A, \*(B,C)), or

\*(+(A,C), D).

A grammar is left recursive if there is a nonterminal for which it is possible to make a replacement with that same nonterminal as the left-most symbol. That is, there is a nonterminal N for which there is a sequence of rules allowing to replace N with N $\alpha$ , with  $\alpha$  any sequence of terminals and nonterminals. The grammar above is (indirectly) left-recursive because of the definition of expressions. The nonterminal  $\langle expression \rangle$  can be replaced with the sequence  $\langle expression \rangle + \langle expression \rangle$ , for example, where  $\langle expression \rangle$  is the left-most nonterminal.

## **Question 2**

Consider the following two pieces of code:

```
A. loop Index {
    in Input .
    Output : Input + Index .
    out Output .
    } .
B. in Input .
    loop Input { Output : Output + 1 . } .
    out Output .
```

Whitespace is added for readability; assume that the amount and type of whitespace between lexemes (tokens) has no importance for the validity of a piece of code.

Given the grammar from Question 1, which of the following is true:

- 1. According to the grammar, A is syntactically valid but B is not.
- 2. According to the grammar, B is syntactically valid but A is not.
- 3. According to the grammar, both A and B are syntactically valid.
- 4. According to the grammar, neither A nor B are syntactically valid.

#### Answer: 4.

While reasoning about the validity of a sequence of tokens with respect to a formal grammar, you should use no additional assumptions, but rather follow the grammar in a machine-like manner.

A is not valid because a loop statement can contain only one nested statement; this is clear from the definition of  $\langle loop \rangle$  and  $\langle statement \rangle$ .

B is not valid because the grammar does not allow to parse numerals; there is no rule which allow you to parse (or generate) sentences including the symbol 1, and thus any sequence of tokens including that symbol is not a valid sentence according to the grammar above.

Consider the following mathematical definition of Fibonacci numbers:

$$Fibonacci_{n} = \begin{cases} 1, & \text{if } n < 2\\ Fibonacci_{n-1} + Fibonacci_{n-2}, & \text{otherwise} \end{cases}$$

The following code shows one possibility of implementing this definition in Haskell:

fib n | n < 2 = 1 | otherwise = fib (n - 1) + fib (n - 2)

Given that Haskell is a programming language with lazy evaluation, which of the following is true:

- 1. The implementation above is not recursive, even though the mathematical definition is recursive.
- 2. The implementation above is not tail-recursive because it is not possible to implement a tail-recursive function in a language with lazy evaluation.
- 3. The implementation above is not tail-recursive irrespectively of the order of evaluation.
- 4. The implementation above is tail-recursive because of the lazy evaluation.

You do not need to know Haskell to answer correctly.

### Answer: 3.

A function (procedure) is recursive if it calls itself inside its body. A function (procedure) is tail-recursive if it calls itself in its own body (i.e., if it is recursive) and if there is just one recursive call which is the last statement in the body.<sup>1</sup>

### **Question 4**

Consider the following function:

```
fun {Switch Number Threshold Result Rescue}
  if Number<Threshold then Result
   else Rescue end
end</pre>
```

Suppose that Switch is applied as follows:

```
Result = {Switch N 5 {Fibonacci N} {Fibonacci 5}} +
{Switch 5 N {Fibonacci 5} {Fibonacci N}}
```

where Fibonacci is a function that given a number n returns the n-th Fibonacci number. You do not know how Fibonacci is implemented, but assume that it computes Fibonacci numbers with runtime linear in terms of the input, i.e., a computation of {Fibonacci 10} takes 10t, {Fibonacci 50} takes 50t, etc., for some constant t.<sup>2</sup>

Assuming that the computation cost added by Switch is constant and negligible, which of the following is true:

<sup>&</sup>lt;sup>1</sup>True for direct recursion. Functions can also be indirectly recursive (and tail-recursive), if they do not call themselves, but call other functions (procedures) that call the first again. Also, a tail-recursive procedure can actually include more than one call ti itself in the body, provided that the calls are placed, e.g., in different branches of a conditional statement.

<sup>&</sup>lt;sup>2</sup>The assumption is reasonable for an appropriate implementation and sufficiently small inputs.

- 1. For any input n, it is guaranteed that an execution of this code will take (10 + 2n)t, irrespectively of how Fibonacci is implemented.
- 2. It is guaranteed that an execution of this code can't take more than 10t, irrespectively of how Fibonacci is implemented and of the input n.
- 3. It is guaranteed that an execution of this code can't take more than 2nt, irrespectively of how Fibonacci is implemented and of the input n.
- 4. None of the above.

(Note: n is the value of N.)

### Answer: 4.

Fibonacci may be an eager or a lazy function.

- If Fibonacci is eager, both {Fibonacci 5} and {Fibonacci N} will be evaluated twice, with runtime 2(5+n)t. (If Fibonacci were memoized, the runtime would rather be max(5t, nt), assuming constant-time cost of memoization. However, if Fibonacci were memoized, the computation of {Fibonacci n} would take nt on the first occurrence, but constant time on every other occurrence, which does not meet the specification above.)
- If Fibonacci is lazy, only one of {Fibonacci 5} and {Fibonacci N} will be evaluated (but necessarily twice), with runtime 2×5t or 2nt, respectively. (If Fibonacci were memoized, the runtime would be 5t or nt, respectively.) Which of them will be evaluated depends on the value of n, and the computation will never take more than 2 × 5t and 2nt, for any n.

Since it is not known how Fibonacci is implemented, none of the above can be guaranteed.

# **Question 5**

Consider the following two definitions:

```
A. fun {Reverse List}
    fun {Reverse List Accumulator}
    Head|Tail = List in
    {Reverse Tail Head|Accumulator}
    end
    in
    {Reverse List nil}
    end
B. fun {Reverse List}
    case List of Head|Tail
    then {Reverse Tail}|Head
    else nil
    end
```

Which of the following is true:

- 1. A defines constant-stack computation, but B defines linear-stack computation.
- 2. Both A and B define constant-stack computation.
- 3. Both A and B define linear-stack computation.
- 4. B defines constant-stack computation, but A defines linear-stack computation.

(Here 'constant' and 'linear' refer to the length of the input list.) Hint: translate these definitions into the kernel language.

#### Answer: 2.

In Oz, an execution of a tail-recursive procedure (or function) leads to an iterative process, a computation with constant stack size. A is explicitly tail-recursive. B seems non-tail-recursive, but its translation to the kernel language is tail-recursive:

```
proc {Reverse List ?Result}
    case List of Head|Tail then
        local Rest in
            Result = Rest|Head
            {Reverse Rest}
            end
        else nil end
end
```

Note that even though A is not a correct implementation of Reverse and it will never return a correct result (see below), for any input list there will be an actual linear-stack computation done before a unification failure is met.

## **Question 6**

Consider the two definitions of Reverse above. Which of the following is true:

- 1. A correctly computes the reverse of an input list, but B does not.
- 2. Both A and B correctly compute the reverse of an input list.
- 3. B correctly computes the reverse of an input list, but A does not.
- 4. Neither A nor B correctly compute the reverse of an input list.

(Here, 'reverse' means a list with the same elements as the original list, but in the opposite order, e.g., {Reverse [1 2 3]} == [3 2 1].)

### Answer: 4.

A is an almost correct implementation, except for that it attempts to unify List with a record Head|Tail, rather than match List against the pattern Head|Tail. When List is nil, this results in a unification failure. Since every list is a sequence of nested records ending in the empty list nil, will Reverse fail with any input list.

B is incorrect: except for the trivial case where the input is nil, it does not produce a list but rather a series of head-nested records, each with an element of the input list in the tail. It will return a list if the input is a nested list, but this still will not be the correct result:

```
{Reverse [1 2 3]} returns ((nil|3)|2)|1 rathen than [3 2 1];
{Reverse [[1] [2] [3]]} returns [[[nil 3] 2] 1] rather than [[3] [2] [1]].
```

Consider the following program:

```
local
  fun {MakeSender Message Stream}
     proc {Send Stream} Rest in
         Stream = Message|Rest
         thread {Send Rest} end
      end
   in
      sender(start:proc {$} {Send Stream} end)
  end
  fun {MakeReceiver Stream}
     proc {Receive Stream}
         case Stream of Message|Stream
         then {Browse Message} {Receive Stream} end
      end
  in
     receiver(start:proc {$} {Receive Stream} end)
   end
  Stream
   [S1 S2 R] = [{MakeSender s1 Stream}
                {MakeSender s2 Stream}
                {MakeReceiver Stream}]
in
   {R.start}
  {S1.start}
   {S2.start}
end
```

Which of the following is true about an execution of this program:

- 1. None of the answers below is correct.
- 2. The execution will crash due to a unification failure.
- 3. The execution will repeatedly print s1 and s2 in the browser window, in an apparently random order.
- 4. The execution will repeatedly print s1 in the browser window.

#### Answer: 1.

The receiver is started first, and freezes over the unbound variable Stream. Since the receiver runs in the main thread, it suspends the whole program — none of the senders is started.

The situation would be different if the receiver were started in a separate thread, e.g.,

- if {R.start} were replaced with thread {R.start} end, or
- if receiver(start:proc {\$} {Receive Stream} end) were replaced with receiver(start:proc {\$} thread {Receive Stream} end end), etc.

then both senders would have a chance to start. One of them would add an element to the stream, and the other would cause a unification failure. The failure would stop the main thread, since the first sending of each sender is performed in that thread. Depending on the scheduling, the following could happen:

- If the second sender causes the failure after the first has started a new thread, the first sender will keep sending its message, which will be then printed by the receiver (running in a separate thread see the assumption above).
- If the second sender causes the failure before the first has started a new thread, the first sender will stop as well (it still runs in the main thread). Only the first message will be displayed.

Let us extend Oz with a new type of statement with the following syntax:

{Bound  $\langle id \rangle_1 \langle id \rangle_2$ }

and the following semantics:

The semantic statement is:

({Bound  $\langle id \rangle_1 \langle id \rangle_2$ }, E)

The execution rule is:.

- If  $E(\langle id \rangle_1)$  is a bound variable, then bind  $E(\langle id \rangle_2)$  to true.
- If  $E(\langle id \rangle_1)$  is an unbound variable, then bind  $E(\langle id \rangle_2)$  to false.

Consider the declarative sequential model of computation (DS), the declarative concurrent model with datadriven computation (DCDta), and the declarative concurrent model with demand-driven computation (DC-Dem). Which of the following is true:

- 1. Adding this statement type has no influence on the declarativeness of any of the models.
- 2. Adding this statement type will cause DCDta and DCDem, but not DS, to become non-declarative.
- 3. Adding this statement type will cause DS, DCDta, and DCDem to become non-declarative.
- 4. Adding this statement type will cause DS, but not DCDta and DCDem, to become non-declarative.

#### Answer: 2.

Adding this statement type has no influence on the declarativeness of the sequential model:

- Any program without any Bound statement will give the same result in DS and DS+Bound, and thus DS+Bound must be declarative.
- Any program with a Bound statement will not be valid in DS, but will give the same result on any execution in DS+Bound if  $E(\langle id \rangle_1)$  is bound, then it is bound on any execution, and thus  $E(\langle id \rangle_2)$  is true on every execution; analogously if  $E(\langle id \rangle_1)$  is unbound.

Adding this statement type to a declarative concurrent model (DCDta or DCDem) will cause the model to become non-declarative. With Bound, it is possible to write a program that will give different results on different executions. For example:

```
local X in
   thread if {Bound X} then skip else X = 1 end
   thread if {Bound X} then skip else X = 2 end
   ... % X is bound to 1 or 2 (or even unbound), depending on the schedulling
end
```

C is a language with strict-order evaluation: expressions given as arguments in a function call are evaluated before the resulting values are used in the function body. To simulate non-strict evaluation in which expressions are not evaluated unless their values are needed, C programmers use *macros*. The following are two ways of computing the cube of a number, one using a macro and one using a function:

```
...
#define cubem(a) a*a*a
long cubef(long a) {
   return a*a*a;
}
...
```

For example, both cubem(2) and cubef(2) result in the number 8. If included in a program's code, the application cubem( $\langle expression \rangle$ ) is replaced by the expression  $\langle expression \rangle * \langle expre$ 

```
...
long y = cubem(1234567);
...
```

is replaced by the code:

```
...
long y = 1234567*1234567*1234567;
...
```

Given that C is a language with strict evaluation, which of the following is true:

- 1. For any argument, an application of cubem will always return the same value as the application of cubef.
- 2. For some arguments, an application of cubem will return the same value as an application of cubef, for all other arguments at least one of the applications will fail (there will be an error condition reported in some way).
- 3. For some arguments, an application of cubem will return the same value as an application of cubef, for all other arguments the results will differ or at least one of the applications will fail.
- 4. None of the above statements is correct.

Consider only declarative programs, i.e., programs without side effects (e.g., changing the value of a variable), so that ++x, x+=1, etc., are not valid arguments, but x, x+1, etc., are.

#### Answer: 3.

Arguments which are arithmetic expressions including operators other than \* may lead to different results of an evaluation of expressions involving cubem and cubef.

It is possible for both cubef and cubem to give the same result for some arguments, e.g.,

cubef(2) evaluates to 8, as cubem(2) does;

cubef(2\*1) evaluates to 8, as cubem(2\*1) does, etc.

It is possible for cubem and cubef to give different results for some arguments, e.g., cubef(1+1) evaluates to 8 (because 1+1 is evaluated before it is passed to cubef), while cubem(1+1) evaluates to 4 (cubem(1+1) is replaced with 1+1\*1+1\*1+1).

It is also possible that for some arguments one of or both of cubef and cubem will lead to a failure, e.g., due to type conversion error or value overflow, depending on the context and compilation. For example, cubem(10000LL) will compute the cube of the number 10000 represented as a long long value, while cubef(10000LL) will compute the cube of the number 10000 represented as a long value, which will either give an incorrect value (since  $10000^3$  does not fit into a long) or result in a failure (if overflow detection is turned on).

Note that this has no influence on which answer is correct.

### **Question 10**

Most programming languages include facilities for reporting and recovering from abnormal conditions. Typically, they provide some form of the try-catch-finally statement type, where the content of the finally clause is executed irrespectively of whether any statement inside the try clause raises an exception, and irrespectively of whether such an exception is caught by the catch clause. For example, the following program:

```
try
  {Browse raising(exception)}
  raise exception end
catch Exception then
  {Browse caught(Exception)}
finally
  {Browse finalizing}
end
```

results in raising(exception), caught(exception), and finalizing displayed in the browser window.

Let us consider the Oz kernel language that provides the try-catch form, with no finally clause. Which of the following is true:

- 1. It is impossible to achieve the effect of the full try-catch-finally statement directly in the kernel language, and it is impossible to extend the kernel language to provide support for the finally clause.
- 2. It is impossible to achieve the effect of the full try-catch-finally statement directly in the kernel language as it is defined, and thus it is impossible to define a practical language with the finally clause, unless one modifies the kernel language itself.
- 3. It is impossible to achieve the effect of the full try-catch-finally statement directly in the kernel language as it is defined, but it is possible to define a practical language by means of translation into the kernel language, such that the practical language provides the finally clause.
- 4. It is possible to achieve the effect of the full try-catch-finally statement directly in the kernel language, without the need for any extension of the syntax and semantics.

### Answer: 4.

The try-catch-finally statement of the practical langauge:

```
try \langle statement \rangle_{try}
catch \langle id \rangle_{exception} then \langle statement \rangle_{catch}
finally \langle statement \rangle_{finally}
end
```

can be translated into the kernel language as:

```
\begin{array}{l} | \texttt{local} \langle id \rangle_{\texttt{tag}} \texttt{ in} \\ \texttt{try} \\ \texttt{try} \langle \texttt{statement} \rangle_{\texttt{try}} \texttt{catch} \langle id \rangle_{\texttt{exception}} \texttt{ then } \langle \texttt{statement} \rangle_{\texttt{catch}} \texttt{ end} \\ \langle id \rangle_{\texttt{tag}} \texttt{ clean} \\ \texttt{catch} \langle id \rangle_{\texttt{exception}} \texttt{ then } \langle id \rangle_{\texttt{tag}} \texttt{ = } \langle id \rangle_{\texttt{exception}} \texttt{ end} \\ \langle \texttt{statement} \rangle_{\texttt{finally}} \\ \texttt{if} \langle id \rangle_{\texttt{tag}} \texttt{ = } \texttt{clean} \texttt{ then } \texttt{raise} \langle id \rangle_{\texttt{tag}} \texttt{ end} \\ \texttt{end} \end{array}
```

Note: you were not required to know how this can be achieved. To answer this question correctly it was enough to know that the kernel language does not include the finally keyword (this was explicit in the text), that the practical language does allow for try-catch-finally statements, and that the practical language is defined by means of translation to the kernel language without extending its expressivity. Since the practical language has the same expressivity as the kernel language, the kernel language *must* be expressive enough for the desired effect without additional syntactic or semantic extensions.