#### NORWEGIAN UNIVERSITY OF SCIENCE AND TECHNOLOGY TKP4852 – EXPERTS IN TEAMWORK (CO<sub>2</sub> CAPTURE)

# Conversion of duckweed to bioethanol and animal feed in Brazilian integrated farming

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#### Abtract

Duckweed species offer remarkable potential for biological carbon reduction solutions. Duckweeds are tiny aquatic plants that grow optimally on swine wastewater with extremely high yields per hectare. High starch species of duckweed can be refined into bioethanol, while high protein varieties are viable substitutes for soybean protein. The goal of this report is to explore and analyze the mitigation potential of integrated duckweed farming solutions. The scope is the pig farming industry in Brazil. Industrial ecology principles and life cycle analysis are used to analyze mitigation potential using a robust literature scan.

# Preface

This opportunity was provided by the Norwegian University of Science and Technology (NTNU), Faculty of Natural Sciences and Technology, Department of Chemical Engineering. The project was conducted during Experts in Teamwork in a period from 16<sup>th</sup> of January until 2<sup>nd</sup> of May.

Thank you to our village supervisor Hanna Knuutila for constructive advice during this process and to Isak Fossland for providing us with duckweed.

NTNU, Trondheim, 02.05.13

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# **Summary**

What makes this project unique is the use of a methodology called industrial ecology. This means organizing production to mimic how nature works. In nature nothing is wasted and this is also the goal of the project. With this in mind, an opportunity to capture carbon emissions from the transport sector were looked at. Biological sequestration of carbon is earth's only native technology and also the best. Biofuels and specifically ethanol are part of the answer for solving the carbon problem in the transportation sector. This project therefore focused on finding the best feedstock for bioethanol production.

Duckweed is the world's fastest growing flowering plant, able to double its biomass in 24 hours under ideal conditions. Duckweed has many advantages over other feedstocks like corn and sugar cane, which make it a cheap and effective carbon capture solution. Duckweed floats, it grows on highly concentrated wastewater, and can be grown to either produce high protein varieties or high starch varieties. High starch is perfect for ethanol production and high protein has been shown to be equivalent to soy protein in animal feed. The highest yields in pilot studies come from the use of swine wastewater from pork production.

Brazil was the perfect place for a feasibility study as it is the world's  $2^{nd}$  largest producer of ethanol and  $4^{th}$  in pork. Our feasibility splits Brazil into two production zones. Zone E is the region with over 75 % of biorefinery infrastructure and 34 % of swine production. Zone E is therefore the only zone where we can produce low, cost carbon ethanol from duckweed. This study estimates savings in CO<sub>2</sub> emissions could reach up to 13.5 ktonnes CO<sub>2</sub> by taking advantage of the ideal conditions to produce duckweed bioethanol in this region.

On the other hand, the rest of Brazil has a high capacity for duckweed production, but lacks the capability to produce ethanol. High protein duckweed can therefore be used in this zone to produce animal feed. The result of zone P production is high quality animal feed that replaces soy production, reducing carbon emissions by 249 ktonnes and eliminating other important environmental impacts.

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# Glossary

CCS	Carbon Capture and Storage
CED	Cumulative Energy Demand
CH <sub>4</sub>	Methane
CO <sub>2</sub>	Carbon Dioxide
DDGS	Distiller Dried Grains Soluble
GHGs	Green House Gasses
GWP	Global Warming Potential
LCA	Life Cycle Analysis
NCF	Net Carbon Flux
WSP	Waste Stabilization Ponds

#### **1** Introduction

The evidence compiled during the IPCC Fourth Assessment Report on Climate Change 2007 (AR4) suggests that humans are very likely to blame for global climate change. Increasing emissions of anthropogenic greenhouse gasses (GHGs) since the industrial revolution, have led to a marked increase in atmospheric concentrations of the GHG carbon dioxide ( $CO_2$ ), methane ( $CH_4$ ), nitrous oxide ( $N_2O$ ) perfluorocarbons (PFCs), hydrofluorocarbons (HFCs) and sulphur hexafluoride ( $SF_6$ ) (Edenhofer et al., 2012).

These GHGs affect climate change in different ways and are weighted with an indicator called global warming potential (GWP). The standard measure of global warming is GWP, which calculates the time dependent radiative forcing (absorption of outgoing long-wave radiation) of a GHG relative to the reference gas  $CO_2$ . All GHGs have a higher GWP value than  $CO_2$  with some, such as  $SF_6$  reaching GWP values more than 22,800 times more powerful than  $CO_2$  at a 100 year time horizon (Edenhofer et al., 2012). In technical terms, GWP is the ratio of integrated radiative forcing of a substance compared to the integrated radiative forcing of the reference gas. In practice, GWP measures the relative power of a GHG to increase global warming relative to  $CO_2$ .



 $CO_2$  is not the most potent GHG, but does have the largest cumulative radiative forcing of any GHG, due to its volume and persistence. The chart to the left shows world trends of GHG emissions per annum and displays an overwhelming prevalence of  $CO_2$ emissions compared to other greenhouse gasses. Many of the synthetic gasses in the blue category have been banned by the Organisation for Economic Co-operation and Development, but are still used in developing nations. The cyclical appearance of  $CO_2$ emissions tends to follow economic growth and decline as shown in the graph.

*Figure 1.1* World GHG per annum breakdown (Edenhofer et al., 2012)

Carbon capture and storage (CCS) solutions are an important part of climate change mitigation strategy. Most CCS technologies focus on separating  $CO_2$  from flue gasses post-combustion or removing it chemically pre-combustion. The  $CO_2$  is then transported via pipeline to an underground geologic storage site. If CCS technologies continue to improve, it could allow humans to continue using fossil fuels well past current predictions.

The drawbacks of CCS follow the same logic. Fossil fuels release many of the other GHGs discussed in the opening paragraph, which are not mitigated by CCS technology. Delucchi and Jacobson (2010) report that CCS at coal fired power plants could reduce  $CO_2$  emissions by 85–90 % or more, but it has no effect on  $CO_2$  emissions due to the mining and transport of coal; in fact it will increase such emissions and of air pollutants per unit of net delivered power and will increase all ecological, land-use, air-pollution, and water-pollution impacts from coal mining, transport, and processing, because the CCS system requires 25 % more energy, thus 25 % more coal combustion, than does a system without CCS.

While CCS gets a lot of attention in the media and academia, people tend to forget that biomass and the oceans have been sequestering  $CO_2$  of billions for years. The phrase "technology got us into this mess and technology will get us out" is common in CCS circles and represents the bias inherent in climate change politics. Effective climate change solutions mean a fundamental restructuring of the status quo and will require serious sacrifices. This study have therefore taken an alternative view of CCS technology and chose to focus on one of Earth's indigenous CCS solutions; biological sequestration.

#### 2 Climate Data and Transportation

The project requires an analysis of how CCS technology can be used as a climate mitigation tool in the transportation sector. Recent Netherlands Environmental Assessment Agency reports, (excluding deforestation) global emissions rose by 3 % in 2011; close to the decade long average of 2.7 % and reached an all-time high of 34 gigatons for the year (Olivier et al, 2012).

In response to the lack of progress on climate change, the EU started the 2 °C project. The project sets a threshold of 2 °C increase relative to pre-industrial times by 2050. This threshold is chosen as the upper limit of increase allowing adaptation for many human systems at globally acceptable economic, social and environmental costs. In order to meet the 2 °C target with at least a 50 % probability, atmospheric CO<sub>2</sub>-equivalent concentration would need to be stabilized at approximately 440 ppm or lower. Stabilization at 400 ppm CO<sub>2</sub>-equivalent or lower would raise the probability of keeping the temperature increase below 2 °C to above 66 % (EU, 2008).

The following two graphs illustrate a common problem within climate change mitigation science; the baseline projections often deviate significantly from the model requirements. Figure 2.1 is the baseline projection of actual world emissions in 2050 by sector. Figure 2.2 displays the challenge to humanity: World GHG emissions must be nearly halved between now and 2050 in order to meet the 2 °C target with 85 % probability.



Figure 2.1: OECD baseline projection GHG emissions/annum (OECD, 2011)



*Figure 2.2: GHG emissions per annum requirements for achieving 2 degree target (EU, 2008)* 

Figure 2.1 and 2.2 show that the transportation sector accounts for a large percentage of GHG emissions. In 2009,  $CO_2$  emissions from transport accounted for nearly a quarter of total emissions in 32 member countries of European Environment Agency (EEA-32) (EEA, 2011). Mobility 2030 is a roadmap published by the World Business Council for Sustainable Development (WBCSD, 2004) devoted to the quantification and mitigation of GHGs from the transportation sector. The report identifies road transport as the dominant mode and while acknowledging the importance of road transport to development, presents opportunities for improvement using technologies like biofuels, electric vehicles, hybrids, and hydrogen.



Figure 2.3: Transport sector contribution to total GHG emissions in EEA-32 (EEA, 2011)

#### **3** Biofuels

With interest in biological CCS solutions well entrenched in the projects vision, the attention was turned to biofuels. Mobility 2030 (WBCSD, 2004) identified biofuels and particularly ethanol as technologies that must be a part of the GHG reduction strategy. Figure 3.1 show that the reduction potential of advanced biofuels exceeds even zero-carbon hydrogen fuel cells.

Biofuels utilize the concept of carbon neutrality to create useful fuels for the transportation sector. Plant biomass requires  $CO_2$  for photosynthesis, drawing it from the environment for metabolic use. When the biomass is converted to energy, it is known as a feedstock. The basic premise of bioenergy is that the  $CO_2$  released upon combustion has been balanced by the  $CO_2$  stored in the plant during the growing cycle. This is superior to fossil fuels because the net carbon release to the atmosphere is closer to zero for bioenergy. While energy from biomass is typically treated as carbon neutral in regulatory frameworks; in practice the variance around "neutral" can be substantial. To truly determine whether a biomass feedstock is carbon neutral, one must calculate the life cycle net carbon flux of the biomass using life cycle analysis (LCA). Assuming minimal land use changes, net carbon flux (NCF) is roughly calculated as such:

#### NCF = Carbon sequestered during growth by feedstock - process $CO_2$ released during cultivation, harvesting, and processing into bioenergy - $CO_2$ released from combustion.



Figure 3.1: Hypothetical options for reducing GHG emissions from road transportation (WBCSD, 2004)

The NCF of biomass is difficult to calculate, but is the most accurate measure of a biofuel's true  $CO_2$  emissions vis-à-vis petrochemical fuels. In this project the NCF is not calculated, but the equation above is used to guide the analysis.

Highly metabolically active feedstocks requiring little process energy and affecting the smallest land use impacts will generally have LCA carbon fluxes closest to zero. The project started with a literature scan to look for a biomass feedstock for the production of bioethanol with these characteristics.

#### 3.1 Bioethanol

Recent LCA studies indicate that ethanol from sugarcane in Brazil has the lowest GHG emission profile for all currently used transportation biofuels (Cherubini et al., 2009). Figure 3.2 show a rapid increase in the production of biogasoline and biodiesel since the 1990s. Biogasoline includes bioethanol, bio-ETBE (ethyl tertiary butyl ether), biomethanol and bio-MTBE (methyl tertiary buthyl ether) (Guerrero-Lemus et al. 2013). For the purposes of this paper, all alcohol based products derived from the fermentation of biomass is treated as bioethanol.



Figure 3.2: World supply of biogasoline and biodiesel (Guerrero-Lemus et al. 2013)

Bioethanol is produced by microbial fermentation of glucose, usually with the help of yeasts (e.g. *Saccharomyces cerevisiae*) as production microorganisms (Lens et al., 2005). The glucose substrate can be obtained from many different carbohydrate containing feedstocks, such as sugar cane, wheat, corn or agricultural co-products. Carbohydrates may be in the form of cellulose, hemicellulose, or starch when entering the biorefinery. These carbohydrates are broken down into simple sugars by thermal, enzymatic, or combination reactions. Simple sugars are converted into alcohol with the help of yeast under the right conditions. This alcohol is typically in a concentration of around 8–10 % before distillation removes the water to a purity of 95-96 %. Wastes from ethanol production such as distillers dried grain solubles (DDGS) are obtained as co-products. DDGS have many possible applications depending on the chemical characteristics of the DDGS, but are commonly used as animal feed or burned for heat (Lens et al., 2005).

The production process for bioethanol can be difficult and costly. Sugar cane is the cheapest feedstock due to high yields per hectare, cheap fermentation requirements, use of waste bagasse for heat production, and cheap labor. The net carbon flux GHG emissions from sugarcane bioethanol result in over 80 % GHG savings when compared to fossil fuels, but other indicators are not so favorable (Cherubini et al. 2009).

The cultivation of sugarcane increases land use impacts, surface water usage, heavy metals, and fertilizer leakage. These problems are generally aggregated into the 1<sup>st</sup> generation debate. Biofuels in this class are criticized because they compete for arable land with the food market. With more than a billion people without adequate nourishment, arable land should be used for food production. According to the International Energy Agency (IEA, 2008), biofuels can be classified in the following categories:

- 1<sup>st</sup> generation: Those that have reached a stage of commercial production. In general, they are obtained from crops grown following similar techniques to food crops and consequently, compete with them for agricultural land.
- 2<sup>nd</sup> generation: They do not compete for agricultural land, since they are obtained from lignocellulosic biomass such as straw, grass, stems, stalks, roots, woods, shells, etc.
- 3<sup>rd</sup> generation: They mainly consist of oils from algae and hydrogen from biomass. They are still at an early stage of development and far from large-scale production. Therefore, it is not expected to reach large production in the short term.

In summary, bioethanol has a much smaller GHG footprint than gasoline for road transport, but land use impacts and competition with food production must be avoided to scale up production. Sugarcane is the best  $1^{st}$  generation biofuel, but  $2^{nd}$  generation and  $3^{rd}$  generation biofuels are the future. The best feedstock for bioethanol production will utilize waste streams instead of fertilizer, occupy non-arable or marginal lands, require less process energy, and be inexpensive to refine.

## **4** Duckweed

Duckweeds are the name of several species of plants from the *Lemnaceae* family. They are the smallest and fastest-growing flowering plants in the world, able to double their biomass within a period of 24 hours under ideal conditions (Zhao et al., 2012, Xumeng Ge. et al., 2012). Duckweed has many characteristics that give it an advantage over sugarcane. Duckweed is a free-floating aquatic plant that grows in characteristic green mats on top of heavily eutrophic freshwater. Free floating duckweed requires less process energy because it is easier to harvest and cultivate. The yields per hectare compare favorably with other feedstocks, and on par with sugarcane. The ideal growth medium for duckweed is wastewater (effluent) from swine production.



Figure 4.1 Duckweed (Missouri Botanical Garden, 2013)

Chemical composition varies widely for duckweed species, allowing for the selection of either high starch or high protein varieties. Duckweed co-products could provide a multitude of flexible low-carbon solutions. Duckweed can be harvested continually where the average ambient temperature is between 18 to 28 °C, which allows for year round biomass production in places with such conditions (Duan et al., 2013).

#### 4.1 Duckweed as a Feedstock for Bioethanol Production

Duckweed carbohydrates must be converted to simple sugars for fermentation before bioethanol can be produced. The starch and other carbohydrates found in dried duckweed are broken down into simple sugars by a process called saccharification. This process uses enzymes to break down starch and other carbohydrates into simple sugars. Sugarcane in comparison requires no conversion. Commercial grade amylases and cellulases used in the saccharification process are expensive and the chemical conversion of carbohydrates to simple sugars is an efficiency loss compared to sugarcane. With is constraint in mind, the key to making duckweed competitive is very high carbohydrate levels. Certain duckweed species have been able to obtain a starch content of nearly 70 % in the laboratory (Xu et al. 2011). Two separate pilot plant studies were able to obtain theoretical yields for duckweed bioethanol of ca. 6,420 liters per hectare, which is 50 % greater than for maize ethanol and on par with sugarcane (Keim, 2009, Xu et al., 2011).

#### 4.2 High Protein Duckweed Grown on Swine Wastewater as Animal Feed

The studies of Xu et al. (2010), shows that duckweed should be grown on 50 % swine lagoon effluent for efficient nutrient removal and optimal duckweed growth. This will result in clean water which can be released to the environment or reused, resulting in a closed loop water system. High protein duckweed strains like *Lemna gibba 8578*, or *Lemna minor 8627* have protein concentrations ranging from 15 % to a maximum of 50 %. The amino acid profile of the protein from these species was evaluated favorably for animal feed, with a nutritional value comparable to soy (Xu et al., 2010). The protein from this system could either be fed back to the pigs in dry milled form or exported to Europe as a substitute for soy protein meal. We will explore these two options later in the report.

## **5** Industrial Ecology

The concept of industrial ecology is extremely useful when performing feasibility analysis. Industrial ecology is based on the "ecological metaphor" for organizing human production. The idea is that anthropogenic activities have deviated far from the principles that have governed sustainable ecosystems for billions of years. The symptoms of this deviation are the world's most critical problems today. Pollution, climate change, inequality, excessive waste, and even geopolitical issues can be attributed to anthropocentric production starting with the industrial revolution. The field of industrial ecology calls for a reorganization of production to mimic the way natural ecosystems function.

In a natural ecosystem at equilibrium, nutrient flows cascade and ascend the food web, appearing and reappearing in different organisms in a closed loop cycle. Sunlight,  $CO_2$ , and nutrients are used to create photosynthetic organisms, which form backbone of the trophic layers.

The other layers are characterized by competition, predation, mutualism, and symbiotic relationships. Nutrients created by organisms at various levels move up and down the food chain according to natural selection, which in Darwinian terms is nature's measure of efficiency. Reallocating resources in a closed loop system entails linking waste back to the production process and diverting virgin resources such as wood, ore, oil, and gas to the most efficient processes. A production system closer to the ecological metaphor would result in emissions and waste reductions, increased productive efficiency, longer resource lifetimes, and more stable growth.

The eutrophication diagram in figure 5.1 is an excellent example of how industrial ecologists think. Eutrophication occurs when water becomes saturated with nutrients that are otherwise limiting factors for plant and bacterial growth. The limiting nutrient for freshwater is phosphorous, and nitrogen for saltwater. When these nutrients occur in abundance, it causes a growth explosion in the local community by the organisms with the highest metabolisms. In natural ecosystems the resulting algal or duckweed blooms can prove fatal to biodiversity by causing anoxic conditions.

The problem arises because the ecosystem becomes unbalanced with the sudden overabundance of biomass. By using swine nutrients as a food source, we are taking advantage of explosive biomass growth in a controlled environment. The excess nutrients are turned into biomass by duckweed in a succession of waste treatment lagoons before being released into a river or lake.



Figure 5.1: Eutrophication diagram (BBC, 2013)

#### 6 Feasibility Study

A feasibility analysis using industrial ecology principles revealed that Brazil is an excellent candidate for developing integrated closed loop duckweed farming. Brazil has an abundance of highly nutrified agricultural wastewater as the world's 4<sup>th</sup> largest producer of swine. Conveniently Brazil is also the 2<sup>nd</sup> largest producer of ethanol in the world. Swine wastewater is duckweed's preferred growth medium and is treated in this study as the only source of nutrients to make the numbers realistic. In practice, duckweed could also be grown on wastewater from industry, households, and other organic sources.

Being the world 2<sup>nd</sup> largest ethanol producer means Brazil has well developed existing infrastructure. The results of the study depend on the assumption that Brazil has existing capacity for increased ethanol production without requiring a significant investment in new refineries. In Brazil today, swine production is the main source of animal protein for human consumption and occupies a strategic position in the global food market (Mohedano et al. 2012). Brazil has large pig herd consisting of approximately 35 million pigs, 4<sup>th</sup> largest in the world. Most of this production is concentrated in the Southern regions, but in later years a rapid growth has taken place in the Central-West region (Kunz et al. 2009).

The environmental threat from intensive swine production is substantial, due to the amount of waste excreted during the lifespan of the animal. Improperly treated swine effluent can cause explosive eutrophic algal growth in native environments. Farmers must have access to an affordable and sustainable solution to treat this waste. The average Brazilian pig farmer transfers the liquid manure into a biodigester where it is broken down by anaerobic bacteria. After this process the liquid manure is transformed into the co-products biogas (CH<sub>4</sub> and CO<sub>2</sub> mainly), solid manure and a liquid effluent. The untreated effluent is high in ammonium, nitrates, phosphorous, chemical oxygen demand (COD) and biological oxygen demand (BOD) (Mohedano et al., 2012).

In Brazil it is common to treat this effluent in reception pits or covered lagoons called waste stabilization ponds (WSPs). WSPs constitute the simplest and the most common biological wastewater treatment in Brazil (Ambiente Brazil, 2013). The common use of WSPs in Brazil is a fundamental part of this analysis. The utilization of WSPs to produce bioethanol and animal feed from duckweed means that land use impacts are avoided, while removing nutrients from the system and providing an extra revenue stream for farmers.

#### 6.1 Methods

The theoretical basis for this study is derived from a recently completed pilot project in Santa Catarina State in Southern Brazil (Mohedano et al., 2012). The study was developed on a small farm with 300 pigs generating 3  $m^3$  of waste daily. This waste composed mainly of manure, urine, and leftover food, passes through a biodigester with a hydraulic retention time of 30 days, a storage pond, and finally two duckweed waste stabilization ponds for nutrient removal. The duckweed ponds received about 30% of the waste effluent, about 1  $m^3$  per day. The rest was diverted from the storage pond to the fields for fertilizer. The entire process is depicted in figure 6.1.



*Figure 6.1:* Duckweed pilot study setup (Mohedano et al., 2012) BD=Biodigester, SP=Storage pond, DP1 and DP2 =Duckweed pond 1 and 2.

The authors harvested the fresh duckweed every other day, obtaining yields of 27 kg/day from DP1 and 7.5 kg/day from DP2. The difference in yield can be explained by scale (DP1 = 153  $m^2$ , DP2 = 90  $m^2$ ) and nutrient availability; DP1 had access to the full strength effluent, while DP2 received the leftover nutrients from DP1. The average yield per day for DP1 was 18 grams dry duckweed/m<sup>2</sup>/day. The protein content from this experiment reached 40 % in DP1, the level required for soybean protein substitution. The protein yield per hectare was the most astounding result of this study.

If using the results from DP1 only, the theoretical protein yield per hectare/year is 26.3 tons, 22 times larger than the average soybean protein productivity in Brazil, as seen in equation 1.

$$\frac{\frac{18 \text{ grams dry duckweed}}{m^2}}{\text{day}} * \frac{10,000 \text{ }m^2}{\text{hectare}} * \frac{365 \text{ days}}{\text{year}} * \frac{1 \text{ ton dry duckeweed}}{1000000 \text{ grams}} * \frac{0,400 \text{ T protein}}{1 \text{ ton dry duckweed}} = \frac{\frac{26.3 \text{ tons protein}}{\text{hectare}}}{\text{year}}$$
(1)

The amount of manure effluent required by the duckweed for this growth was  $1 \text{ m}^3$  per day and is factored into the yield achieved by the authors. Equation 2 shows how to obtain the theoretical duckweed production estimate in table 6.1.

$$\frac{\frac{18 \text{ grams}}{m^2}}{\text{day}} * \frac{1 \text{ kg duckweed}}{1000 \text{ grams}} * \frac{365 \text{ days}}{\text{year}} * \frac{243 \text{ m}^2}{300 \text{ pigs}} * \frac{1 \text{ pig}}{93 \text{ kg pork}} \rightarrow \frac{\frac{\text{kg duckweed}}{\text{kg pork}}}{\text{year}} * \frac{\text{kg pork}}{\text{year}} = \frac{\text{theoretical yield}}{\text{year}} \tag{2}$$

The parameters entered into excel are theoretical numbers based on the yield from DP1. The pond size of  $243 \text{ m}^2$  is the size of the both ponds added together. The assumption made here is that it is possible to achieve DP1 yields by joining both ponds together, thus increasing the total yield. Adding extra effluent to match the demand of a bigger pond is not a problem because the pilot study is using only 30% of the effluent.

Geographic Area	Kt Swine Production	Annual production of sugarcane ethanol (L / yr)	Duckweed Production Estimate (dry T / year)	Estimated Duckweed Ethanol Yield (L /year)
Paraná	478	1,40E+09	1,04E+07	2,67E+06
São Paulo	156	1,16E+10	3,38E+06	8,72E+05
Minas Gerais	397	2,08E+09	8,60E+06	2,22E+06
Zone E Total	1032	1,51E+10	2,23E+07	5,77E+06
Zone P Total	2229	N/A	4,83E+07	N/A
Brazil Total	3260	N/A	7,06E+07	1,06E+07

Table 6.1: Theoretical duckweed co-product yield

#### Key assumptions and references for table 6.1

1. The estimated ethanol yield is calculated using the dry duckweed to ethanol ratio of 25.8% from (Cheng and Stomp, 2009).

2. Annual ethanol production in Zone E is added for comparative purposes, data is from the Brazilian Ministry of Agriculture.

3. Swine production is from the Brazilian Pork Industry and Exporter Association.

4. Duckweed yield is adjusted to 37.9% of maximum to reflect current availability of WSPs used in Brazilian wastewater treatment (Trata Brazil Institute, 2013).

This analysis divides Brazil into two production zones. Zone E (ethanol) consists of the states Minas Gerais, Paraná, and São Paulo. This region contains 75 % of Brazil's biorefinery infrastructure and 34 % of swine production. The three states of Zone E have the necessary refinery capacity and the effluent required to produce low cost, low-carbon ethanol from duckweed. The rest of the biorefineries are located in the Northeast where intensive swine production is undeveloped. This region could produce some bioethanol from duckweed, but is excluded from this study.

Most of the remaining swine production is found in the Southern and Central West regions shown in figure 6.2. These two regions have high capacity for duckweed production, but lack the infrastructure to produce ethanol. A stipulation of low cost, low-carbon bioethanol is a short distance from the field to the refinery to limit transportation impacts. Therefore, into this zone into this zone (Zone P) high protein duckweed is substituted for the production of animal feed.



Figure 6.2: Duckweed production zones

#### 6.2 Soybean Production and Substitution Methods

Soybeans have grown to become Brazil's most important agricultural product. Brazil is the second largest producer of soybeans in the world with a growth rate twice the world average. Brazilian soybean growth is stimulated by an increasing demand for soy protein meal for the animal feed industry in Europe, where 70 % of Brazil's soybean exports end up (Cavalett et al., 2009).

This rapid growth has resulted in an increase of soybean plantation areas from roughly 1 million hectares in 1970 to 23 million hectares in 2010. For comparative purposes, the area of Norway is about 32 million hectares (Garrett et al. 2012). Today almost 50 % of the soybean production in Brazil is in the Amazon and Central West regions. Native savannas, planted pastures and rainforest are turned into areas for intensive agriculture as a result of the crop area expansion. Soybean farming is a resource intensive industry with high process inputs of energy and fertilizers in addition to the considerable land use impacts affecting both biodiversity and human livelihood.

The academic literature is rich in information about duckweed farming for animal feed, but currently there are no extensive LCA based studies to determine the impacts of full scale duckweed production. Life cycle analysis captures the environmental impacts of the full life cycle of a product or service from raw materials extraction to end of life disposal. The methodology has evolved in the last decade as an invaluable tool for environmental analysis. Due to the complexity of building a life cycle inventory for every process in the duckweed production value chain, existing LCA studies were used for soybeans as a framework and utilized substitution methods to remove inputs avoided by producing duckweed. The LCA results for Zone P reflect the substitution method applied to a domestic use – export model to reflect current market trends.

### 7 Results

In order for this feasibility study to produce results, some calculations and assumptions had to be made. The following chapters describe these assumptions, and the results are presented for Zone E and Zone P where ethanol and animal feed can be produced, respectively.

#### 7.1 Calculations and Assumptions

The following assumptions were taken into consideration in order to do the LCA and the construction of graphs presented in this chapter:

- 1. Functional unit represents Norwegian soy protein import for 2008 as estimated by Germiso (2008).
- 2. Impact categories originally calculated for 1 metric ton of soy (Da Silva et al. 2010)
- 3. Impacts have been aggregated from Da Silva et al's. (2010) publication for simplicity
- 4. The two processes with significant LCA impacts for duckweed are protein milling from dry duckweed and transportation from field to milling station and back to farm.
- 5. Transportation emissions are vital for differentiating the domestic vs. export scenarios
  - Domestic land transport emissions are calculated from field to protein milling station and back to the field. The key parameter from Da Silva et al. (2010) is 75.6 kg CO<sub>2</sub> per ton of protein round trip.
  - Soy or duckweed protein exported to the EU has one way CO<sub>2</sub> emission from field to port plus ocean transport to the EU. Da Silva et al (2010) calculated ocean transport at 220 kg CO<sub>2</sub> equivalents per ton.
- 6. The protein milling process is assumed to be equivalent to soybean processing. The soybean LCA impacts for this process have been included in both duckweed scenarios. The calculation of the milling process requirements was not included in Da Silva et al. (2010) and derived based on estimates of milling energy requirements from Dalgaard et al. (2008) and Brazil energy mix from the International Energy Agency (2008).

Equation 3 was used to calculate CO<sub>2</sub> emissions per ton of soybeans in Brazil.

$$470 \frac{MJ \, process \, energy}{ton \, of \, soybeans} * \ 0.04 \ \frac{kg \, CO_2}{MJ \, Brazilian \, grid \, energy} = 18.8 \frac{kg \, CO_2}{ton \, of \, soybeans}$$
(3)

In table 7.1 four main areas in where duckweed is compared to soybean is presented.

Heavy metals to soil	Heavy metals from soybean production are cadmium from fertilizer usage,
	lead, mercury, manganese, and nickel from farming equipment and fossil
	fuels. Deposition of heavy metals affects terrestrial, freshwater, and
	marine ecosystems depending on the deposition site and concentration.
Land Use Change	Land use changes in Brazil refer to permanent alteration of Cerrado
	tropical savannah and Rainforest.
Cumulative Energy Demand	Total life cycle energy requirements for producing soybeans or duckweed.
CO <sub>2</sub> eq	Total life cycle GHG emissions measured in CO <sub>2</sub> equivalents

#### 7.2 CO<sub>2</sub> Reduction from Zone E Bioethanol

As seen in table 6.1 the estimated duckweed ethanol yield from Zone E was  $5.77 \cdot 10^6 \frac{L}{year}$ .

Seeing how one liter of petrol emits 2.34 kg of  $CO_2$ , the emission savings can be estimated to 13.5 ktonnes  $CO_2$ . This is based on the assumption that duckweed ethanol is a carbon neutral source. The top 10 largest sources of emission on the mainland of Norway are introduced in table 7.2 to put these numbers into context.

**Table 7.2:** The ten largest emissions of  $CO_2$  in mainland Norway (Karoliussen, 2012)

Industrial facilities	Emissions in ktonnes CO2
Statoil, Mongstad	1437,6
Hammerfest LNG	1356,2
Gassco AS, Kårstø	1200,7
Norcem AS, Brevik	812,3
Yara, Porsgrunn	672,8
Hydro Aluminium, Sunndal	647,4
Hydro Aluminium, Karmøy	532,6
Noretyl	458,0
Hydro Aluminium, Årdal	427,6
Alcoa, Mosjøen	423,0

The CO<sub>2</sub> reduction from Zone E is calculated to be 13.5 ktonnes per year. As seen in figure 7.1 this accounts for 3 % of Alcoa's CO<sub>2</sub> emissions, which has the  $10^{th}$  largest emissions in Norway.



Figure 7.1: Comparison between CO<sub>2</sub> reduction from Zone E and CO<sub>2</sub> emissions from Alcoa, Mosjøen, Norway

#### 7.3 Duckweed as a Replacement for Soybean Protein

Figure 7.2 shows the results from the LCA study where exported soybean meal was replaced by duckweed. In this case, there would not be any discharges of heavy metals to soil, since duckweed production does not need any type of fertilizers. Also, the results show that there would be significant savings in terms of land use, energy input to the production process and  $CO_2$  emissions to the environment.



Figure 7.2: LCA export impacts functional unit 500 000 metric tons of protein

Assuming that duckweed protein stays in Brazil as a feedstock for animals, the  $CO_2$  reduction will be smaller. However, there would be less usage of land since the fuel needed for transportation will be out of the calculation. Therefore the energy demand will be lowered as well. Figure 7.2 shows the estimated numbers.



Figure 7.2: LCA domestic impacts functional unit 500 000 metric tons of protein

In terms of  $CO_2$  savings obtained by replacing the exporting product (figure 7.1), the number would be around 2.23E+8 CO<sub>2</sub>eq. Likewise, using duckweed in a close cycle to feed swine in Brazil would save 2.1E+8 CO<sub>2</sub>eq.

To put these results into context with the bioethanol production, figure 7.3 compare  $CO_2$  reduction from growing duckweed in Zone P with the  $CO_2$  emissions from Alcoa in Mosjøen, Norway. As stated above, this company has the 10<sup>th</sup> largest  $CO_2$  emission in Norway. Zone P has the potential to reduce  $CO_2$  emissions by an equal amount as 59 % of Alcoa's annual emissions.



Figure 7.3: Comparison between CO<sub>2</sub> reduction from Zone P and CO<sub>2</sub> emissions from Alcoa, Mosjøen, Norway

#### 8 Discussion

First off, we would like to acknowledge that our results underestimate duckweed impacts by an unknown amount. Many processes were unknowingly omitted in addition to the enzyme and thermal starch breakdown process in the biorefinery for Zone E. The saccharification process LCA impacts were not included due to lack of reliable data. The methods used in this study attempt to ensure the utmost academic integrity within the time constraints of the project. With that disclaimer, we believe these results to be within reason. The results clearly demonstrate the potential for significant improvements in key environmental indicators by implementing integrated duckweed farming in Brazil. The reduction in  $CO_2$  emissions is the most obvious and relevant to our village, but the complete elimination of heavy metals from the environment cannot be underestimated.

An LCA analysis of the co-product duckweed integrated farming system was determined to be unfeasible due to lack of data. A deeper understanding of the LCA methodology allowed our group to circumvent the lack of data by using a system substitution method to estimate LCA impacts of duckweed in the most academically defensible manner within time constraints. A feasibility study was set up using data from multiple credible sources. The model divided duckweed production into Zone E for the production of bioethanol and Zone P for the production of protein for animal feed. The reasoning for this division was the availability of biorefinery infrastructure in Zone E and not in Zone P. The feasibility study was set up to analyze the LCA impacts of duckweed protein from Zone E. Results were calculated using a domestic and an export scenario to simulate current market conditions. Results showed an unequivocal improvement in every duckweed LCA indicator over soybean, but it showed a less significant reduction in  $CO_2$  from the bioethanol produced in Zone E such as waste water treatment. Seeing how implementation to Zone E has a very low cost, the idea should not be discarded.

This feasibility analysis offers a different solution for biofuels production. Brazil has a clear and present challenge facing its agricultural sector. Brazil is already an agricultural powerhouse, ranking close to the top with the United States and China in soybean production, pigs, chickens, and beef. Brazil is also an ecological wonder, home to 14 % of the world's surface waters and one of the last undeveloped sources of biodiversity. The Amazon and Cerrado regions are massive carbon sinks and sources of tremendous ecological value. The goal of this project did not start with these things in mind, but evolved organically with the literature available.

The reduction in  $CO_2$  by switching to duckweed is obvious when one considers the life cycle of soybean production. Da Silva et al. (2010) was quick to point out that most soybeans produced today are grown on existing cropland or pasture land cleared decades ago. However the same author estimated that 2 to 4 % of soybeans are grown on recently cleared land, either Cerrado or Rainforest. The clearing of new land for soybean cultivation has huge  $CO_2$  impacts both from the loss of trees as carbon sinks and from machinery used to clear the land and prepare the soil for planting. Soil spanning a landmass nearly the size of Norway must be de-weeded, fertilized, and seeded for planting. As the soybean crops grow they receive maintenance inputs of fertilizer, pesticides, water, and weeding. Harvest is another large impact for soybean production. Heavy machinery and human labor requiring transport must separate the pods from the plant residues, clean and sort the pods, dispose of the plant residues, and recondition the soil for the next planting cycle. There are  $CO_2$  impacts spanning every step of soybean production. It is important to recall that soybeans produce protein 22 times less efficiently than duckweed.

Land use impacts are related to the clearing of land as reported by Da Silva et al. (2010). The impacts of land use from soybeans were touched upon above and explained more thoroughly in the conclusion. Land use impacts from duckweed are admittedly underestimated in the model, but the results are not unreasonable. The integrated farming model recommended by our team used industrial ecology methods to uncover an unused resource in Brazil; waste stabilization ponds. Wastewater treatment in Brazil is underdeveloped, but significant potential duckweed habitat is already in existence. Due to time constraints, we only considered co-production of duckweed with pork. An exponential scale up of duckweed production is theoretically possible by considering wastewater from poultry, beef, and human waste. A significant scale up of duckweed production would increase land use impacts and other LCA indicators by an amount correlated with the construction of new duckweed wastewater treatment facilities.

CED impacts are explained by many of the same life cycle factors mentioned in the  $CO_2$  section above. Duckweed and soybeans both share the protein milling and domestic transportation processes. Duckweed and soybeans both have a protein content of approximately 40 %. In order to be used in animal feed this protein must be extracted from the bean itself. The separation process is a mechanical process that also extracts lipids and other valuable co-products as described in Dalgaard et al. (2008). As seen from figure 8.1, Brazil has an excellent

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energy mix due to extensive hydropower production so CED is lower than it would be elsewhere. Brazil was chosen as a case study because their agricultural products rank at the top of the world class in terms of LCA impacts. CED is therefore a competitive advantage in Brazil for all agricultural products, including duckweed.



Figure 8.1: World Energy Mix ( IEA, 2008)

Mineral fertilizers are the largest source of cadmium deposition in most countries. Cadmium has been shown to be extremely toxic to freshwater ecosystems at high concentrations. Brazil has one of the world's most extensive networks of rivers and freshwater lakes. This makes dilution a common practice for industrial and agricultural pollutants. This problem may go unnoticed for long periods of time because the effects of deposition will occur far from the source. Over time however, the effects of diffuse pollutants will start to build up at river deltas and in sediments on lake bottoms. Heavy metals and other environmental toxins can have effects over several generations and are difficult to mitigate. The zero result for duckweed in the heavy metals category is extrapolated from Da Silva et al. (2010) after substituting out the soybean processes not applicable to duckweed production. In reality this value will not be zero, but should be very close.

The framework for this project was inspired by Mobility 2030 and the EU 2 °C project. These projects represent the highest level of human understanding of climate change mitigation. Both reports highlighted the importance of the transportation sector to achieving climate goals. Mobility 2030 and the EU emphasize the importance of biofuels to current mitigation strategy while also outlining the problems with 1<sup>st</sup> generation biofuels.

# 9 Conclusion

Duckweed has the potential to solve many environmental challenges simultaneously. Human population growth will put increasing pressure on Brazil as a world leader in food and energy production. Wastewater problems, emissions of GHGs and the deposition of other pollutants must be proactively mitigated to preserve arguably the world's most important source of biodiversity. We recommend the scientific community to consider more projects inspired by industrial ecology. Technology is a marvelous wonder and will play an important role in climate change mitigation, but we cannot forget the potential contribution of the earth's indigenous technologies.

Our group agreed that  $2^{nd}$  or  $3^{rd}$  generation biofuels offer better environmental benefits than current technologies. Using industrial ecology and LCA as a framework, our group was able to determine that sugarcane bioethanol from Brazil is currently the world's most environmentally friendly fuel, but room for improvement exists. Duckweed was discovered as having all of the characteristics required for a  $2^{nd}$  or  $3^{rd}$  generation biofuel.

By implementing duckweed to both Zone E and Zone P, the total reduction in  $CO_2$  emissions was calculated to 262 ktonnes, which is a substantial amount. Seeing how the implementation also has other positive environmental impacts, it has the potential to be carried out to a real life scenario.

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